Date ______________________

New Account Worksheet

Your Source for Customized Investment Solutions

Please be aware that the information provided on this document is for information purposes only. LPL Financial will take no action based on the information provided herein.

Client A

First:	Middle:	Last:	
Home Phone:		Cell Phone:	
Email:		US Citizen: Y N	Other:
SS:	DOB:	Gender: M F	Married: Y N
Address:		City, State, ZIP:	
Mailing (If different):		City, State, ZIP:	
Employer:	Industry:	Occupation:	Retired: Y N
Address:		City, State, ZIP:	
Driver's License #:	State:	Issue Date:	Exp. Date:

Client B

First:	Middle:	Last:	
Home Phone:		Cell Phone:	
Email:		US Citizen: Y N	Other:
SS:	DOB:	Gender: M F	Married: Y N
Address:		City, State, ZIP:	
Mailing (If different):		City, State, ZIP:	
Employer:	Industry:	Occupation:	Retired: Y N
Address:		City, State, ZIP:	
Driver's License #:	State:	Issue Date:	Exp. Date:

Beneficiaries

First:	Middle:	Last:	
SS:	DOB:	Primary or Contingent	Percentage 0%

First:	Middle:	Last:	
SS:	DOB:	Primary or Contingent	Percentage 0%

First:	Middle:	Last:	
SS:	DOB:	Primary or Contingent	Percentage 0%

Beneficiaries continued

First:		Middle:	Last:	
SS:	DOB:	Primary or Contingent	Percentage	0%

First:		Middle:	Last:	
SS:	DOB:	Primary or Contingent	Percentage	0%

First:		Middle:	Last:	
SS:	DOB:	Primary or Contingent	Percentage	0%

Financial Information

Non-Retirement: Checking, Savings, Investments, Cash Value Insurance	Total Value:
Notes	$

Individual Retirement: IRA, Roth IRA, SIMPLE IRA, SEP IRA, Bene IRA	Total Value:
Notes	$

Employer Sponsored Plans: 401K, 457B, 403B, Profit Sharing, ESOP, Pension	Total Value:
Notes	$

Other Assets: Home Value, Real Estate, Vehicles, Valuables	Total Value:
Notes	$

Debt: Mortgage Balance, Credit Cards, Other Loans	Total Debt:
Notes	$

Income: Salary, Bonus, Social Security	Total Annual Income:
Notes	$

Years of Investment Experience	1 to 5	6 to 10	11 to 20	20 +	
How long do you plan to hold this investment?	1 - 3 years	3 - 5 years	5 - 10 years	10 years +	
Investment Objective	Income with Capital Preservation	Income with Moderate Growth	Growth with Income	Growth	Aggressive Growth

Advisor Use Only

A. $1 - $24,999	**B.** $25,000 - $49,999	**C.** $50,000 - $99,999	**D.** $100,000 - $249,999
E. $250,000 - $499,999	**F.** $500,000 - $749,999	**G.** $750,000 - $999,999	**H.** $1,000,000+

Annual Income		Net Worth		Liquid Net Worth		Fed. Tax Bracket (%)	

The information provided by you on this profile sheet may be used to open your new LPL Financial account. You have the right to request a completed copy of your LPL Financial application. Please consult your financial advisor for this request.